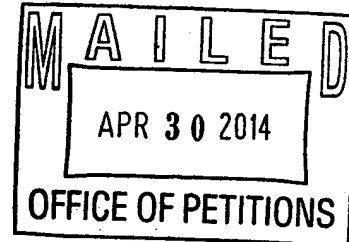




UNITED STATES PATENT AND TRADEMARK OFFICE

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United States Patent and Trademark Office
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Alexandria, VA 22313-1450
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SALT LAKE CITY UT 84121



In re Application of :
Guymon et al. :
Application No. 12/316,699 : ON PETITION
Filed: 12/15/2008 :
For: SECURABLE INDEPENDENT :
ELECTRONIC DOCUMENT :

This is a decision on the paper, filed on April 7, 2014, styled as a petition under 37 CFR 1.137(a),¹ which, in the absence of the required petition fee, is being treated as a petition under 37 CFR 1.181 to withdraw the holding of abandonment.²

The petition is **dismissed** for the reasons stated below.

Any request for reconsideration of this decision must be submitted within **TWO (2) MONTHS** from the mail date of this decision. **This time period is not extendable.**³

The application became abandoned on October 3, 2013, for failure to timely and properly respond to the final Office action mailed on April 2, 2013, which set a three (3) month shortened statutory period for reply. On October 2, 2013, an amendment after final rejection and a three (3) month extension of time in accordance with 37 CFR 1.136(a) were obtained. On October 18, 2013, however, an Advisory Action Before the Filing of an Appeal Brief was mailed, stating that the reply filed on October 2, 2013, fails to place the application in condition for allowance. Notice of Abandonment was mailed on February 7, 2014.

¹ Effective December 18, 2013, petitions to revive an abandoned application on the basis of unintentional delay are under 37 CFR 1.137(a). See *Changes To Implement the Patent Law Treaty*; Final Rule, 78 Fed. Reg. 62368, 62382 (October 21, 2013).

² In the absence of the petition fee, which is required by law, the PTO can only treat the instant petition as a (feeless 1.181) petition to withdraw the holding of abandonment. See *Krahn v. Comm'r*, 15 USPQ2d 1823, 1825 (E.D. Va. 1990).

³ 37 CFR 1.181(f).

The petition is not properly signed. 37 CFR 1.33(b), as applicable to applications filed prior to September 16, 2012, requires that all papers be signed by a patent practitioner or all of the applicants for patent. 37 CFR 1.41(b) defines the applicants as all of the inventors. The declaration filed on December 15, 2008 listed John Lewis Guymon, Jr., and Douglas Scott Litsey as joint inventors. The petition, however, is signed only by inventor Guymon. Any renewed petition must be signed by both inventors or a registered patent practitioner in accordance with 37 CFR 1.33(b).

It is also noted that the reply filed with the petition is not signed. Any renewed petition must be accompanied by a properly-signed reply, signed by both inventors or their registered patent practitioner.

The petition is not accompanied by payment of the fee in the proper amount. In this regard, petitioner submitted \$35 with the present petition. Effective January 1, 2014, the fee for a petition under the unintentional provisions of 37 CFR 1.137(a) is \$850 for a small or micro entity. The fee for a petition under the unavoidable provisions of former 37 CFR 1.137(a) was \$160 prior to January 1, 2014. 37 CFR 1.22(a) states, in pertinent part, that patent fees and charges payable to the United States Patent and Trademark Office are required to be paid in advance; that is, at the time of requesting any action by the Office for which a fee or charge is payable.

As stated above, in the absence of the petition fee, which is required by law, the PTO can only treat the instant petition as a (feeless 1.181) petition to withdraw the holding of abandonment.⁴ Petitioner, however, has not provided a showing sufficient to warrant withdrawal of the holding of abandonment. As such, the application became abandoned as a matter of law for failure to properly respond to the final Office action mailed on April 2, 2013.

Petitioner will note "[t]he Office is also revising the rules of practice pertaining to the revival of abandoned applications (37 CFR 1.137) and acceptance of delayed maintenance fee payments (37 CFR 1.378) to provide for the revival of abandoned applications and acceptance of delayed maintenance fee payments solely on the

⁴ See Krahn v. Comm'r, 15 USPQ2d 1823, 1825 (E.D. Va. 1990).

basis of 'unintentional' delay. The PLTIA eliminates the provisions of the patent statutes relating to revival of abandoned applications or acceptance of delayed maintenance fee payments on the basis of a showing of 'unavoidable' delay." The effective date of this change is December 18, 2013. *Changes To Implement the Patent Law Treaty*, Final Rule 78 FR 62368 (Oct. 21, 2013), viewable here: <http://www.gpo.gov/fdsys/pkg/FR-2013-10-21/pdf/2013-24471.pdf> Accordingly, the Office no longer revives applications or reinstates patents based on unavoidable delay.

Petitioner may wish to consider filing a petition to revive the application under the unintentional provisions of 37 C.F.R. 1.137(a), as amended, accompanied by the required fee as set forth in § 1.17(m). A copy of the form for a petition to revive the application under the unintentional provisions of 37 C.F.R. 1.137(a), as amended is enclosed with this decision for petitioner's reference.

The fee for a petition under the unintentional provisions of 37 CFR 1.137(a) is \$850 for a small or micro entity. The \$35 paid on April 7, 2014 may be applied toward the petition fee due.

Further correspondence with respect to this matter should be delivered through one of the following mediums:

By mail: Mail Stop PETITIONS
 Commissioner for Patents
 Post Office Box 1450
 Alexandria, VA 22313-1450

By hand: Customer Service Window
 Mail Stop Petitions
 Randolph Building
 401 Dulany Street
 Alexandria, VA 22314

By fax: (571) 273-8300
 ATTN: Office of Petitions

By internet: EFS-Web⁵

⁵ www.uspto.gov/ebc/efs_help.html (for help using EFS-Web call the Patent Electronic Business Center at (866) 217-9197)

Application No. 12/316,699

4

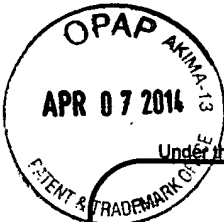
Any questions concerning this matter may be directed to the undersigned at (571) 272-3231.



Douglas I. Wood
Attorney Advisor
Office of Petitions

Encl: PTO/SB/64 (12-13) Petition For Revival of an
Application for Patent Abandoned Unintentionally Under
37 CFR 1.137(a)

United States Patent and Trademark Office Fee Schedule,
Effective January 1, 2014



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Request for Continued Examination (RCE) Transmittal

Address to:
Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Application Number	12/316699
Filing Date	Dec 15 2008
First Named Inventor	John Lewis Gygis, Jr
Art Unit	
Examiner Name	Kaveh Abrishamkar
Attorney Docket Number	

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.
Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

- a. Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.
 - i. Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____
 - ii. Other _____
- b. Enclosed
 - i. Amendment/Reply
 - ii. Affidavit(s)/ Declaration(s)
 - iii. Information Disclosure Statement (IDS)
 - iv. Other Claims

2. Miscellaneous

- a. Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)
- b. Other _____

3. Fees

- The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.
- The Director is hereby authorized to charge the following fees, any underpayment of fees, or credit any overpayments, to Deposit Account No. _____
- a. RCE fee required under 37 CFR 1.17(e)
- ii. Extension of time fee (37 CFR 1.136 and 1.17) 04/09/2014 CCHAU1 00000013 12316699
- iii. Other ~~01 FC-3001~~ ~~300.00 OP~~
- b. Check in the amount of \$ 335 (300 for RCE) enclosed
- c. Payment by credit card (Form PTO-2038 enclosed)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Signature	<i>John Lewis Gygis, Jr</i>	Date	4/7/2014
Name (Print/Type)	John Lewis Gygis, Jr	Registration No.	

CERTIFICATE OF MAILING OR TRANSMISSION

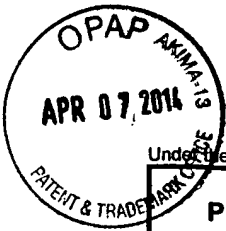
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Signature	<i>John Lewis Gygis, Jr</i>	Date	4/7/2014
Name (Print/Type)	John Lewis Gygis, Jr		

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Express Mail EK267983978 US



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT ABANDONED UNAVOIDABLY UNDER 37 CFR 1.137(a)	Docket Number (Optional)
--	--------------------------

First Named Inventor: John Lewis Guymon Jr Art Unit: _____
 Application Number: 12/316699 Examiner: Kaveh Abrishamkar
 Filed: December 15, 2008

Title: Securable Independent Electronic Document

Attention: Office of Petitions
Mail Stop Petition
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

NOTE: If information or assistance is needed in completing this form, please contact Petitions Information at (571) 272-3282.

The above-identified application became abandoned for failure to file a timely and proper reply to a notice or action by the United States Patent and Trademark Office. The date of abandonment is the day after the expiration date of the period set for reply in the Office notice or action plus any extensions of time actually obtained.

APPLICANT HEREBY PETITIONS FOR REVIVAL OF THIS APPLICATION.

NOTE: A grantable petition requires the following items:

- (1) Petition fee.
- (2) Reply and/or issue fee.
- (3) Terminal disclaimer with disclaimer fee -- required for all utility and plant applications filed before June 8, 1995, and for all design applications; and
- (4) Adequate showing of the cause of unavoidable delay.

1. Petition fee

- Small entity -- fee \$ _____ (37 CFR 1.17(l)). Applicant claims small entity status. See 37 CFR 1.27.
 Other than small entity -- fee \$ 35.00 (37 CFR 1.17(l)).

2. Reply and/or fee

A The reply and/or fee to the above-noted Office action in the form of _____ (identify the type of reply):

- has been filed previously on _____
 is enclosed herewith.

B The issue fee of \$ _____

- has been filed previously on _____
 is enclosed herewith.

04/09/2014 CCHAU1 00000013 12316699
 02 FC:1999 35.00

This collection of information is required by 37 CFR 1.137(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 8 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT ABANDONED
UNAVOIDABLY UNDER 37 CFR 1.137(a)**

3. Terminal disclaimer with disclaimer fee

- Since this utility/plant application was filed on or after June 8, 1995, no terminal disclaimer is required.
- A terminal disclaimer (and disclaimer fee (37 CFR 1.20(d)) of \$ _____ for a small entity or \$ _____ for other than a small entity) disclaiming the required period of time is enclosed herewith (see PTO/SB/63).

4. An adequate showing of the cause of the delay, and that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition under 37 CFR 1.137(a) was unavoidable, is enclosed.

WARNING:

Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.

John Lewis Gygmon Jr
Signature

4/7/2014
Date

John Lewis Gygmon Jr
Typed or printed name

Registration Number, if applicable
801-938-4833
Telephone Number

1635 East 7080 South SLC UT
Address
Salt Lake City UT 84121
Address

- Enclosure Fee Payment
- Reply
 - Terminal Disclaimer Form
 - Additional sheets containing statements establishing unavoidable delay
 - _____

CERTIFICATE OF MAILING OR TRANSMISSION (37 CFR 1.8(a))

I hereby certify that this correspondence is being:

deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to **Mail Stop Petition**, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Express Mail EK 267983978 US

transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (571) 273-8300.

4/7/2014
Date

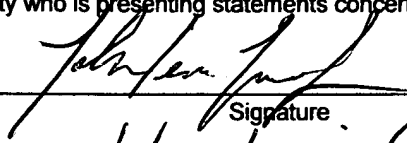
John Lewis Gygmon Jr
Signature

John Lewis Gygmon Jr
Typed or printed name of person signing certificate

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT ABANDONED
UNAVOIDABLY UNDER 37 CFR 1.137(a)**

NOTE: The following showing of the cause of unavoidable delay must be signed by all applicants or by any other party who is presenting statements concerning the cause of delay.



Signature

4/7/2014

Date

John Lewis Guymon Jr

Typed or printed name

Registration Number, if applicable

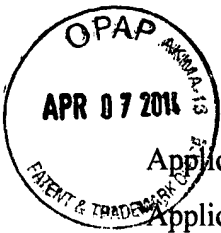
(In the space provided below, please explain in detail the reasons for the delay in filing a proper reply.)

Both Applicant and Examiner has recently become familiar with the understanding and needs of the application's claims, having continued prosecution of application needed.

Applicant has submitted replies and required fees on time, and after repeated requests for assistance (§707.07(j)) files the enclosed claims.

Applicant, having life's due events, submits this petition within the two month revival time period.

(Please attach additional sheets if additional space is needed.)



Application Number: 12/316,699
Application Filed: December 15, 2008
Applicant: John Lewis Guymon Jr
Application Title: Securable Independent Electronic Document
Examiner/GAU: Kaveh Abrishamkar

Claims, 1-7 as amended/added re Request for Continued Examination of April 7, 2014

1. An electronic document for secured information exchange supporting independence of specific platform and of nonessential party, tangibly embodied in a computer readable storage medium, the securable independent electronic document comprising:

a normative standard graphical image based container adapted for sectional components, the graphic image sectional component container comprising:

a graphical image sectional component, wherein said image component adapted for storing graphical image data of said graphic image container type,

2ITwherein said component may include encrypted content wherein said encrypted content can be decrypted;

3Inwherein with a set of machine readable instructions in a computer system, said component can be processed in an automated fashion by said computer system, and

4INwherein if visually perceived by a human user, said component can be interacted upon and processed in a manual fashion by said user; and

a character text sectional component adapted for storing normative standard character text based formatted data,

wherein 1IN with a set of machine readable instructions in a computer system, said component can be processed in an automated fashion by said computer system

wherein 2IN if visually perceived by a human user, said component can be interacted upon and processed in a manual fashion by said user;

and, if user specified, additional sectional components wherein said additional components adapted for the storage of any of the following data types:

character text data, said character text comprising scripting text, rich text, markup language text, or other character text, wherein said char text can include one or more of the following:

a character text Uniform Resource Locator (URL),

encoded data, wherein said encoded data can be of any type or value including encrypted or embedded data of any type including that of the inscribed herein securable independent electronic document type,

graphic image(s) data,

raw binary data,

intrinsic security feature data,

container based binary encoded digital signature data,

any other user specified data;

Patent Application of John Lewis Guymon Jr for
"Securable Independent Electronic Document"
Page 2 of 6

wherein said sectional components include intrinsic security feature data wherein coupling of said sectional component(s) security feature data generates additional intrinsic security feature data wherein said intrinsic data or said additional intrinsic data can be stored in any one of said sectional component(s),

wherein 5IN automated system interfacing as binary file formatted type data in a direct, non-altered, single byte precision original 'incrementally-filed' data handling and storage method, whereby having said char text sec component encapsulated in said binary document container allows said character text to sustain its original sequential filed data form, and

wherein 1ID independent creation and processing of said container from said sectional components' creation and processing, and

wherein 3IT integrity enhanced non-associated creation and processing intervention from said sectional components' creation and processing, and

wherein 4IT integrity enhanced incorporated security feature information coupling of said sectional components' security check information with other said sectional components' security check information, and

wherein said normative standard graphic image container and said normative standard character text sectional components further allows the electronic document

5IT integrity enhanced intervention and association control of processing and

storage from non-desired additional parties,

2ID independent interaction of processing and storage from non-desired additional parties,

3ID independent validation of processing and storage from non-desired additional parties, and

4ID independent processing and storage of said machine readable instruction's computing platform;

2. The electronic document of claim 1, wherein said normative standard character text based sectional component can include digital signatures of one or more of the following types:

- (i) signature;
- (ii) co-signature;
- (iii) counter-signature.

3. The electronic document of claim 2 wherein said document container further comprises additional user specified binary encoded digital signature sectional components, wherein said binary encoded container based digital signature sectional component(s) include signatures of one or more of the following types:

- (i) signature;
- (ii) co-signature;

Patent Application of John Lewis Guymon Jr for
"Securable Independent Electronic Document"
Page 3 of 6

(iii) counter-signature.

4. The electronic document of claim 3, wherein said sectional components include at least one cyclic redundancy check (CRC) value.

5. A computer-implemented method for creating an electronic document for secured information exchange supporting independence of specific platform and of nonessential party, comprising:

providing memory for storage of a normative standard graphic image data file container;
wherein said graphical image container is of a normative standard type;

instantiating said container's storage memory;

providing memory for storage of at least a plural of said container's sectional components;
wherein said plural sectional components' include at least
one sectional component adaptable for graphic image data,
wherein said graphic image sectional component is of the same type of said
container;
one sectional component adaptable for normative standard character text based digital
signatures;

providing data input means in which said inputted data's contents and designated sectional
component storage memory of said inputted data can be obtained and said data's a human
operator can supply user specified data and said data's designated sectional component storage
memory,

wherein if said designated storage memory has not yet been instantiated,
the method further consequently comprising:
instantiating said data's sectional component's storage memory;

storing said inputted data in said data's designated sectional component memory,
wherein during or upon the completion of said data storage within said designated
sectional components, intrinsic security feature(s) data becomes available for user
selectable or automated process' use, exchange or storage;

repeating the providing data input means, instantiating said data's sectional component's storage
memory and storing said inputted data steps until said data has been inputted and stored as
specified by said user;

and writing said graphic image container and said container's sectional component storage
memories to a single graphical image electronic document container data file;

Patent Application of John Lewis Guymon Jr for
"Securable Independent Electronic Document"
Page 4 of 6

wherein said normative standard based container and sectional components allows said electronic document's creation, processing and storage to be independent of platform and nonessential party.

6. The method of claim 5, wherein said data input is of a type of at least one of the following:
- operator supplied,
 - user selected and submitted,
 - additional parties gathered,
 - user event action generated result,
 - filed data,
 - remotely obtained data,
 - automated data,
 - computer event generated data,
 - intermediate transaction processing data,
 - or any other input capable of generating said inputted data and designated component memory storage.

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"Securable Independent Electronic Document"
Page 5 of 6

7. A system for creating an electronic document for secured information exchange supporting independence of specific platform and of nonessential party, having said creation process further including, as desired, the creation of embedded electronic documents within said system's current said document's creation, comprising:

a processor, a memory and program instruction of said system type accessible by said system for said program instruction execution and data storage, having said execution:

instantiate a segment of said memory storing a platform independent normative standard based graphical image binary file type container;

instantiate at least one segment of said memory storing platform independent normative standard based character text file type sectional component, said character text sectional component(s) adaptable for the storage of normative standard character text based digital signatures, said character text sectional component supporting:

- i) system automated data processing;
- ii) humanly perceived interactive data processing;
- iii) XML format based digital electronic signatures;
 - 1) Signature
 - 2) Co-signature
 - 3) Counter-signature;
- iv) random binary data encoding including formed data of inscribed herein Securable Independent Electronic Document;
- v) data encryption cryptography;

instantiate at least one segment of said memory storing platform independent normative standard based graphical image file type sectional component(s), having said graphical image sectional component(s) file type the same as said container's graphical image file type, said graphical image sectional component(s) supporting the following *graphical image based* characteristics:

- i) system automated image data processing;
- ii) humanly perceived interactive image data processing;
- vi) image data encryption cryptography;

Instantiate, as desired, segment(s) of said memory storing said container based digital electronic signatures;

Instantiate, as desired, segment(s) of said memory storing additional user specified sectional component(s), said user specified sectional component(s) supporting user specified data type data characteristics;

Instantiate, as desired, segment(s) of said memory storing security feature data sectional component(s), said security feature sectional component(s) supporting said instantiated sectional component's exchanged intrinsic security feature(s) data storage;

Instantiate, as desired, segment(s) of said memory storing security feature data sectional component(s), said security feature sectional component(s) supporting said security feature exchange storage of said instantiated sectional component's intrinsically available security features;

Populating as desired said sectional components further, having additional intrinsic security feature(s) data available for said sectional component(s) security feature(s) data exchange;

Patent Application of John Lewis Guymon Jr for
"Securable Independent Electronic Document"
Page 6 of 6

Writing said container and said container's said sectional component(s) memory segments' data to said electronic document container's file data storage; and

Closing said container and said sectional components releasing said instantiated memory segments;

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Instruction Sheet for RCEs

(not to be submitted to the USPTO)

NOTES:

An RCE is not a new application, and filing an RCE will not result in an application being accorded a new filing date.

Filing Qualifications:

The application must be a utility or plant application filed on or after June 8, 1995. The application cannot be a provisional application, a utility or plant application filed before June 8, 1995, a design application, or a patent under reexamination. See 37 CFR 1.114(e).

Filing Requirements:

Prosecution in the application must be closed. Prosecution is closed if the application is under appeal, or the last Office action is a final action, a notice of allowance, or an action that otherwise closes prosecution in the application (e.g., an Office action under *Ex parte Quayle*). See 37 CFR 1.114(b).

A submission and a fee are required at the time the RCE is filed. If reply to an Office action under 35 U.S.C. 132 is outstanding (e.g., the application is under final rejection), the submission must meet the reply requirements of 37 CFR 1.111. If there is no outstanding Office action, the submission can be an information disclosure statement, an amendment, new arguments, or new evidence. See 37 CFR 1.114(c). The submission may be a previously filed amendment (e.g., an amendment after final rejection).

WARNINGS:

Request for Suspension of Action:

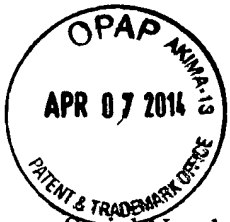
All RCE filing requirements must be met before suspension of action is granted. A request for a suspension of action under 37 CFR 1.103(c) does not satisfy the submission requirement and does not permit the filing of the required submission to be suspended.

Improper RCE will NOT toll Any Time Period:

Before Appeal - If the RCE is improper (e.g., prosecution in the application is not closed or the submission or fee has not been filed) and the application is not under appeal, the time period set forth in the last Office action will continue to run and the application will be abandoned after the statutory time period has expired if a reply to the Office action is not timely filed. No additional time will be given to correct the improper RCE.

Under Appeal - If the RCE is improper (e.g., the submission or the fee has not been filed) and the application is under appeal, the improper RCE is effective to withdraw the appeal. Withdrawal of the appeal results in the allowance or abandonment of the application depending on the status of the claims. If there are no allowed claims, the application is abandoned. If there is at least one allowed claim, the application will be passed to issue on the allowed claim(s). See MPEP 1215.01.

See MPEP 706.07(h) for further information on the RCE practice.



04-9-14

ITS
Dae/RCU

In the United States Patent and Trademark Office

Serial Number: 12/316,699
Application Filed: December 15, 2008
Applicant: John Lewis Guymon Jr
Application Title: Securable Independent Electronic Document
Examiner/GAU: Kaveh Abrishamkar

Cover Letter – Applicant’s Revival and Request for Examination

April 7, 2014

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir/ Madam:

Applicant presents this letter of cover and explanation for the enclosed contents comprising Applicant’s request for application revival and continued examination..

This correspondence has been sent Express mail, dated April 7, 2014 and includes:

Petition for Revival	(PTO/SB/61, 3 pages)
Request for Continued Examination	(PTO/SB/30, 1 page)
Claims, 1-7, as amended	(6 pages)
Fee	(\$300 1.17(e)(1) & \$35 1.17(l)(1), Micro)
Payment, Ck#: 1587	Total: \$335 (Micro entity (1.17(a)(3))

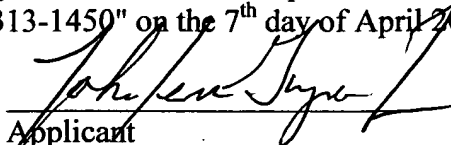
Thank you for your consideration and time with this return correspondence.

Very respectfully,

John Lewis Guymon Jr
Tel: (801) 938-4833
Msg: (801) 943-8461

Certificate of Mailing

I certify that this correspondence will be/was deposited with the United States Postal Service as Express Mail, Tx# EK 26798397845, with proper postage affixed in an envelope addressed to: "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on the 7th day of April 2014.


Applicant